

## Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

PHARMACIA & UP JOHN COMPANY GLOBAL INTELLECTIVAL PROPERTY 301 HENRIKETTA STREET RECEIVED  AND 1999 DATE MALED: 307/29/99  NOTICE TO FILE MISSIMM PARTS OF APPLICATION Filing Deter have been assigned to this application. The items indicated below, however, are missing, Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which typile all required items and pay any fees required below to a small entity in compliance with 37 CFR 1.3 (c) \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.  If all required items on this form age filled within the period set above, the total amount owed by applicant as a small entity (statement filled) 10 non-small entity is \$.  Insufficient Applicant must submit \$2.7 CFR 1.27.  Calaring substituted of the submit of the activation of the same of the submit of the same of the submit of the additional claims fees are due.  In submit of the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the submit of the additional claims fees are due.  In equal to the s	APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO /TITLE
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PHARMACIA & UP JOHN COMPANY SLOBAL INTELLECTUAL PROPERTY  301 HENRIETTA STREET  RECEIVED  AUG 1 0 1999 DATE MAILED:  AUG 1 0 1999 DATE MAILED:  AN Applicant must be and Filing Date have been assigned to his application. The fiems indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required liems and pay any fees required below and old abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 3 CFR 1.136(a). If any of feems 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(a) of 37 CFR 1.13(a). If any of terms 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(a) of 37 CFR 1.13(a). If any of terms 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(a) of 37 CFR 1.13(a). If any of terms are filled within the period gas above, the total amount owed by applicant as a small entity (statement filed) Don-small entity is 3.  The statutory basic filing fee is:  Insufficient	No seek	0000	) / (3.77.0.0)	
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1.4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.  5. The signature of the following joint inventor(s) is missing from the oath or declaration:  An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.  6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.52 (m)).  7. Your filing receipt was mailed in error because your check was returned without payment.  8. The application was filed in a language other than English.  Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).  9. OTHER:  A copy of this notice MUST be returned with the reply.	toes not cover the new	vly submitted items.		
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A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.  5. The signature of the following joint inventor(s) is missing from the oath or declaration:  An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.  6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.57).  7. Your filing receipt was mailed in error because your check was returned without payment.  8. The application was filed in a language other than English.  Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).  9. OTHER:  A copy of this notice MUST be returned with the reply.	4. The signature(s) to the oat	h or declaration is/are by a ne	90. Prson other than inventor or norse	n qualified under 27 CER 4.40
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Direct the reply and any questions about this notice to "Attention: Box Missing Parts."  A copy of this notice MUST be returned with the reply.  Customer Service Center	Applicant must file a verifie	d English translation of the au	polication, the \$130,00 set forth in	37 CFR 1.17(k) unless
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FORM PTO-1533 (REV. 9/98)

PATENT Scket No.: 6142.N2.0P

## **CERTIFICATE OF MAILING (37 CFR 1.8)**

Date of Deposit with U.S. Postal Service: October 28, 1999

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie K. Lyons, Legal Assistant

aspe of Person Mailing Paper

Signature Signature

ficant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

nicani(s)

: ME Gurney, AM Pauley and J Li

Serial Number

09/328,877

Filed

June 9, 1999

For

Human Sel-10 Polypeptides and Polynucleotides that Encode Them

Commissioner of Patents and Trademarks

Washington, DC 20231

## TRANSMITTAL OF OATH OR DECLARATION (37 CFR 1.63) SUBSEQUENT TO THE FILING OF THE APPLICATION UNDER 37 CFR 1.53(f)

Sir:

Transmitted herewith in connection with the patent application identified above is:

[X] An oath or declaration as required by 37 CFR 1.63;

[X] A copy of Form PTO-1533 (Notice to File Missing Parts of Application);

[X] This paper is being filed under 37 CFR 1.8 and the required Certificate of Mailing appears above.

The fee now due in connection with this application is calculated as follows:

	Fee
Charge for Deferral of the Submission of Oath or Declaration	\$ 130.00
TOTAL FEES	\$ 130.00

SPECIFIC DEPOSIT ACCOUNT AUTHORIZATION. Please charge my Deposit Account No. <u>21-0718</u> in the amount of the total filing fee above. Triplicate copies of this sheet are enclosed.

GENERAL DEPOSIT ACCOUNT AUTHORIZATION. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or during the pendency of this application or credit any overpayment to Deposit Account No. <u>21-0718</u>:

PATENT/Locket No.: 6142.N2 CP

Date: 280 + 99

- Any additional filing fees or fees for the presentation of additional claims required under 37 CFR 1.16.
- (2) Any patent application processing fees under 37 CFR 1.17.

No authorization is given to charge the Issue Fee (37 CFR 1.18).

[X] Please amend the TRANSMITTAL OF APPLICATION PRIOR TO SUBMISSION OF OATH OR DECLARATION AND/OR FILING FEE, submitted with the above-captioned application, to indicate that the original first and sole inventor or original first and joint inventors are the following:

Mark E. Gurney, Adele M. Pauley, Jinhe Li

The pertinent information concerning residence, citizenship and post office address is provided in the accompanying declaration or oath.

- [X] This paper is responsive to a Notice from the PTO dated July 29, 1999 for which the period for response has expired or will expire on September 29, 1999.
- [] The Notice of Missing Parts from the PTO has not been received.

**EXTENSION OF TIME.** In the event this paper is not filed prior to the time set for response, applicant(s) hereby petition for an extension of the period for filing the oath or declaration or paying the deferred filing fee to the date of filing this paper, and hereby authorize the Commissioner to charge the extension fee as may be required by 37 CFR 1.17, to Deposit Account No. 21-0718.

If for any reason the extension requested above is insufficient to extend this period to the date of this paper, applicant(s) hereby petition for the revival of the above-captioned application as having been unintentionally abandoned and authorize the Commissioner to charge the required fees under 37 CFR 1.17 to Deposit Account No. 21-0718.

Respectfully submitted,

Thomas A. Wootton, Attorney Registration No. 35,004

Pharmacia & Upjohn Company

Global Intellectual Property 301 Henrietta Street

Kalamazoo, Michigan 49001

Telephone No. (616) 833-7914 or (616) 833-9500 Telefax No. (616) 833-8897 or (616) 833-2316

Attachments:

Oath or declaration

PTO Form 1533 (Notice of Missing Parts)

Form 53F (in triplicate)